

# Optimal Routing with Mutual Information Accumulation in Wireless Networks

Rahul Urgaonkar, *Member, IEEE*, and Michael J. Neely, *Senior Member, IEEE*

**Abstract**—We investigate optimal routing and scheduling strategies for multi-hop wireless networks with *rateless codes*. Rateless codes allow each node of the network to accumulate mutual information with every packet transmission. This enables a significant performance gain over conventional shortest path routing. Further, it also outperforms cooperative communication techniques that are based on energy accumulation. However, it requires complex and combinatorial networking decisions concerning which nodes participate in transmission, and which decode ordering to use. We formulate three problems of interest in this setting: (i) minimum delay routing, (ii) minimum energy routing subject to delay constraint, and (iii) minimum delay broadcast. All of these are hard combinatorial optimization problems and we make use of several structural properties of their optimal solutions to simplify the problems and derive optimal greedy algorithms. Although the reduced problems still have exponential complexity, unlike prior works on such problems, our greedy algorithms are simple to use and do not require solving any linear programs. Further, using the insight obtained from the optimal solution to a line topology, we propose two simple heuristics that can be implemented in polynomial time and in a distributed fashion and compare them with the optimal solution. Simulations suggest that both heuristics perform very close to the optimal solution over random network topologies.

**Index Terms**—Mutual Information Accumulation, Rateless Codes, Minimum Delay Routing, Minimum Energy Routing

## I. INTRODUCTION

Cooperative communication promises significant gains in the performance of wireless networks over traditional techniques that treat the network as comprised of point-to-point links. Cooperative communication protocols exploit the broadcast nature of wireless transmissions and offer spatial diversity gains by making use of multiple relays for cooperative transmissions. This can increase the reliability and reduce the energy cost of data transmissions in wireless networks. See [2] for a recent comprehensive survey.

Most prior work in the area of cooperative communication has investigated physical layer techniques such as orthogonal repetition coding/signaling [3], distributed beamforming [4], distributed space-time codes [5], etc. All these techniques perform *energy accumulation* from multiple transmissions to decode a packet. In energy accumulation, a receiver can

decode a packet when the total received energy from multiple transmissions of that packet exceeds a certain threshold. An alternate approach of recent interest is based on *mutual information accumulation* [6] [7]. In this approach, a node accumulates mutual information for a packet from multiple transmissions until it can be decoded successfully. This is shown to outperform energy accumulation based schemes, particularly in the high SNR regime, in [6] [7].

Such a scheme can be implemented in practice using rateless codes of which Fountain and Raptor codes [8]–[10] are two examples. Rateless codes encode information bits into potentially infinite-length codewords. Subsequently, additional parity bits are sent by the transmitter until the receiver is able to decode. The decoding procedure at the receiver is carried out using belief propagation algorithms. For an overview of the working of Fountain, LT, and Raptor codes, we refer to the excellent survey in [11].

In addition to allowing mutual information accumulation, rateless codes provide further advantages over traditional fixed rate schemes in the context of fading relay networks as discussed in [12] [13]. Unlike fixed rate code schemes in which knowledge of the current channel state information (CSI) is required at the transmitters, rateless codes adapt to the channel conditions without requiring CSI. This advantage becomes even more important in large networks where the cost of CSI acquisition grows exponentially with the network size. However, this introduces deep memory in the system because mutual information accumulated from potentially multiple transmissions in the past can be used to decode a packet.

In this paper, we study three problems on optimal routing and scheduling over a multi-hop wireless network using mutual information accumulation. Specifically, we first consider a network with a single source-destination pair and  $n$  relay nodes. When a node transmits, the other nodes accumulate mutual information at a rate that depends on their incoming link capacity. All nodes operate under bandwidth and energy constraints as described in detail in Section II. We consider three problems in this setting. In the first problem, the transmit power levels of the nodes are fixed and the objective is to transmit a packet from the source to the destination in minimum delay (Section III). In the second problem, the transmit power levels are variable and the objective is to minimize the sum total energy to deliver a packet to the destination subject to a delay constraint (Section IV). In the third problem, we consider the network model with fixed transmit power levels (similar to the first problem) and with a single source where the objective is to broadcast a packet to all the other nodes in minimum delay (Section V). All of these objectives are important in a variety of networking scenarios.

Rahul Urgaonkar is with the Network Research Department, Raytheon BBN Technologies, Cambridge, MA 02138. Michael J. Neely is with the Department of Electrical Engineering, University of Southern California, Los Angeles, CA 90089. This work was performed when Rahul Urgaonkar was a PhD student at the University of Southern California. Email: rahul@bbn.com, mjneely@usc.edu, Web: <http://www.ir.bbn.com/~rahul>

This material is supported in part by one or more of the following: the DARPA IT-MANET program grant W911NF-07-0028, the NSF Career grant CCF-0747525, NSF grant 0964479, the Network Science Collaborative Technology Alliance sponsored by the U.S. Army Research Laboratory W911NF-09-2-0053.

Related problems of optimal routing in wireless networks with multi-receiver diversity have been studied in [14]–[17] while problems of optimal cooperative diversity routing and broadcasting are treated in [18]–[21] and references therein. Although these formulations incorporate the broadcast nature of wireless transmissions, they assume that the outcome of each transmission is a binary success/failure. Further, any packet that cannot be successfully decoded in one transmission is discarded. This is significantly different from the scenario considered in this paper where nodes can accumulate partial information about a packet from different transmissions over time. This can be thought of as networking with “soft” information.

Prior work on accumulating partial information from multiple transmissions includes the work in [7], [22]–[27]. Specifically, [22] considers the problem of minimum energy unicast routing in wireless networks with energy accumulation and shows that it is an NP-complete problem. Similar results are obtained for the problem of minimum energy *accumulative broadcast* in [23]–[25]. A related problem of *accumulative multicast* is studied in [26]. Minimum energy unicast routing with energy accumulation only at the destination is considered in [27]. The work closest to ours is [7] which treats the minimum delay routing problem with mutual information accumulation. Both [24] [7] develop an LP based formulation for their respective problems that involves solving a linear program for *every possible ordering* of relay nodes over all subsets of relay nodes to derive the optimal solution. Thus, for a network with  $n$  relay nodes, this exhaustive approach requires solving  $\sum_{m=1}^n \binom{n}{m} m! > n!$  linear programs.

The primary challenge associated with solving the problems addressed in this paper is their inherent combinatorial nature. Unlike traditional shortest path routing problems, the cost of routing with mutual information accumulation depends not only on the set of nodes in the routing path, but also their *relative ordering* in the transmission sequence, making standard shortest path algorithms inapplicable. Therefore, we approach the problem differently. To derive the optimal transmission strategy for the first problem, we first formulate an optimization problem in Section III-B that optimizes over all possible transmission orderings over all subsets of relay nodes (similar to [24] [7]). This approach clearly has a very high complexity of  $O(n!)$ . Then in Section III-C, we prove a key structural property of the optimal solution that allows us to simplify the problem and derive a simple greedy algorithm that only needs to optimize over all subsets of nodes. Further, it does not require solving any linear programs. Thus, it has a complexity of  $O(2^n)$ . We derive a greedy algorithm of the same complexity for the second problem in Section IV. We note that this complexity, while still exponential, is a significant improvement over solving  $O(n!)$  linear programs. For example, with  $n = 10$ , this requires  $2^{10} = 1024$  runs of a simple greedy algorithm as compared to  $10! = 3628800$  runs of an LP solver. Note that for small networks, (say,  $n \leq 10$ ), it is reasonable to use our algorithm to exactly compute the optimal solution. Further, for larger  $n$  it provides a feasible way to compute the optimal solution as a benchmark when comparing against simpler heuristics.

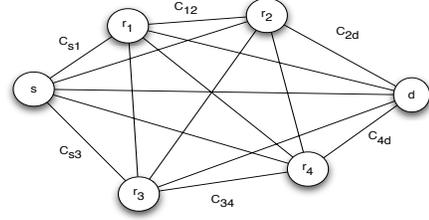


Fig. 1. Example network with source, destination and 4 relay nodes. When a node transmits, every other node that has not yet decoded the packet accumulates mutual information at a rate given by the capacity of the link between the transmitter and that node.

For the minimum delay broadcast problem, we identify a similar structural property of the optimal solution in Section V that allows us to simplify the problem and derive a simple greedy algorithm. While this greedy algorithm still has a complexity of  $O(n!)$ , it does not require solving any linear programs and thus improves over the result in [24] that requires solving  $n!$  linear programs. In general, we expect all these problems to be NP-complete based on the results in [22]–[25]. For the special case of a line topology, we derive the exact optimal solution in Section III-D. Finally, in Section VI, we propose two simple heuristics that can be implemented in polynomial time and in a distributed fashion and compare them with the optimal solution. Simulations suggest that both heuristics perform quite close to the optimal solution over random network topologies.

Before proceeding, we note that the techniques we apply to get these structural results can also be applied to similar problems that use energy accumulation instead of mutual information accumulation.

## II. NETWORK MODEL

The network model consists of a source  $s$ , destination  $d$  and  $n$  relays  $r_1, r_2, \dots, r_n$  as shown in Fig. 1. There are no time variations in the channel states. This models the scenario where the coherence time of the channels is larger than any considered transmission time of the encoded bits. In the first two problems, the source has a packet to be delivered to the destination. In the third problem, the source packet must be delivered to all nodes in the network.

Each node  $i$  transmits at a fixed power spectral density (PSD)  $P_i$  (in units of joules/sec/Hz) that is uniform across its transmission band. However, the transmission duration for a node is variable and is a design parameter. The total available bandwidth is  $W$  Hz. A node can transmit the packet only if it has fully decoded the packet. For this, it must accumulate *at least*  $I_{max}$  bits of total mutual information.

All transmissions happen on orthogonal channels in time or frequency and at most one node can transmit over a frequency channel at any given time. The channel gain between nodes  $i$  and  $j$  is given by  $h_{ij}$  which is independent of the frequency channel. However, this is not necessarily known at the transmitting nodes.

Under this assumption, the minimum transmission time under the two orthogonal schemes (where nodes transmit in orthogonal time vs. frequency channels) is the same. In the

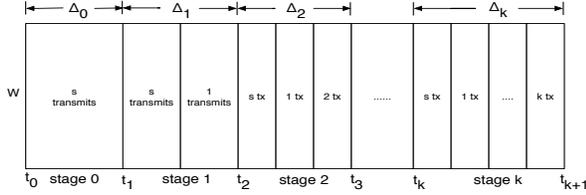


Fig. 2. Example timeslot and transmission structure. In each stage, nodes that have decoded the full packet transmit on orthogonal channels in time.

following, we will focus on the case where transmissions are orthogonal in time. When a node  $i$  transmits, every other node  $j$  that does not have the full packet yet, receives mutual information at a rate that depends on the transmission capacity  $C_{ij}$  (in units of bits/sec/Hz) of link  $i - j$ . This transmission capacity itself depends on the transmit power  $P_i$  and channel strength  $h_{ij}$ . For example, for an AWGN channel, using Shannon's formula, this is given by  $C_{ij} = \log_2 \left[ 1 + \frac{h_{ij}P_i}{N_0} \right]$  where  $N_0/2$  is the PSD of the noise process. Specifically, if node  $i$  transmits for duration  $\Delta$  over bandwidth  $W$ , then node  $j$  accumulates  $\Delta WC_{ij}$  bits of information. In the following, we assume  $W = 1$  for simplicity. We assume that nodes use independently generated *ideal* rateless codes so that the mutual information collected by a node from different transmissions add up. We can incorporate the non-idealities of practical rateless codes by multiplying  $C_{ij}$  with a factor  $1/(1+\epsilon)$  where  $\epsilon \geq 0$  is the overhead. A similar model has been considered in [7].

### III. MINIMUM DELAY ROUTING

Under the modeling assumptions discussed in Section II, the problem of routing a packet from the source to the destination with minimum delay consists of the following sub-problems:

- First, which subset of relay nodes should take part in forwarding the packet?
- Second, in what order should these nodes transmit?
- And third, what should be the transmission durations for these nodes?

We next discuss the transmission structure of a general policy under this model.

#### A. Timeslot and Transmission Structure

Consider any transmission strategy  $\mathcal{G}$  for routing the packet to the destination in the model described above. This includes the choice of the relay set, the transmission order for this set, and the transmission durations for each node in this set. Let  $\mathcal{R}$  denote the subset of relay nodes that take part in the routing process under strategy  $\mathcal{G}$ . By this, we mean that each node in  $\mathcal{R}$  is able to decode the packet before the destination and then transmits for a non-zero duration. There could be other nodes that are able to decode the packet before the destination, but these do not take part in the forwarding process and are therefore not included in the set  $\mathcal{R}$ .

Let  $k = |\mathcal{R}|$  be the size of this set. Also, let  $\mathcal{O}$  be the ordering of nodes in  $\mathcal{R}$  that describes the sequence in which nodes in  $\mathcal{R}$  successfully decode the packet under strategy  $\mathcal{G}$ . Without loss of generality, let the relay nodes in the ordering  $\mathcal{O}$

be indexed as  $1, 2, 3, \dots, k$ . Also, let the source  $s$  be indexed as  $0$  and the destination  $d$  be indexed as  $k + 1$ . Initially, only the source has the packet. Let  $t_0$  be the time when it starts its transmission and let  $t_1, t_2, \dots, t_k$  denote the times when relays  $1, 2, \dots, k$  in the ordering  $\mathcal{O}$  accumulate enough mutual information to decode the packet. Also, let  $t_{k+1}$  be the time when the destination decodes the packet. By definition,  $t_0 \leq t_1 \leq t_2 \leq \dots \leq t_k \leq t_{k+1}$ . We say that the transmission occurs over  $k + 1$  stages, where stage  $j, j \in \{0, 1, 2, \dots, k\}$  represents the interval  $[t_j, t_{j+1}]$ . The state of the network at any time is given by the set of nodes that have the full packet and the mutual information accumulated so far at all the other nodes. Note that in any stage  $j$ , the first  $j$  nodes in the ordering  $\mathcal{O}$  and the source have the fully decoded packet. Thus, any subset of these nodes (including potentially all of them) may transmit during this stage. Then the time-slot structure for the transmissions can be depicted as in Fig. 2. Note that in each stage, the set of relays that have successfully decoded the packet increases by one (we ignore those relays that are not part of the set  $\mathcal{R}$ ).

We are now ready to formulate the problem of minimum delay routing with mutual information accumulation.

#### B. Problem Formulation

For each  $j$ , define the duration of stage  $j$  as  $\Delta_j = t_{j+1} - t_j$ . Also, let  $A_{ij}$  denote the transmission duration for node  $i$  in stage  $j$  under strategy  $\mathcal{G}$ . Note that  $A_{ij} = 0$  if  $i > j$ , else  $A_{ij} \geq 0$ . This is because node  $i$  does not have the full packet until the end of stage  $i - 1$ . The total time to deliver the packet to the destination  $T_{tot}$  is given by  $T_{tot} = t_{k+1} - t_0 = \sum_{j=0}^k \Delta_j$ . For any transmission strategy  $\mathcal{G}$  that uses the subset of relay nodes  $\mathcal{R}$  with an ordering  $\mathcal{O}$ , the minimum delay is given by the solution to the following optimization problem:

$$\begin{aligned}
 & \text{Minimize: } T_{tot} = \sum_{j=0}^k \Delta_j \\
 & \text{Subject to: } \sum_{i=0}^{m-1} \sum_{j=0}^{m-1} A_{ij} C_{im} \geq I_{max} \quad \forall m \in \{1, 2, \dots, k+1\} \\
 & \sum_{i=0}^j A_{ij} \leq \Delta_j \quad \forall j \in \{0, 1, 2, \dots, k\} \\
 & A_{ij} \geq 0 \quad \forall i \in \{0, 1, 2, \dots, k\}, j \in \{0, 1, 2, \dots, k\} \\
 & A_{ij} = 0 \quad \forall i > j \\
 & \Delta_j \geq 0 \quad \forall j \in \{0, 1, 2, \dots, k\}
 \end{aligned} \tag{1}$$

Here, the first constraint captures the requirement that node  $m$  in the ordering must accumulate at least  $I_{max}$  amount of mutual information by the end of stage  $m - 1$  using all transmissions in all stages up to stage  $m - 1$ . The second constraint means that in every stage  $j$ , the total transmission time for all nodes that have the fully decoded packet in that stage cannot exceed the length of that stage.

It can be seen that the above problem is a linear program and thus can be solved efficiently for a given relay set  $\mathcal{R}$  and its ordering  $\mathcal{O}$ . Indeed, this is the approach taken in [7] that proposes solving such a linear program for *every possible*

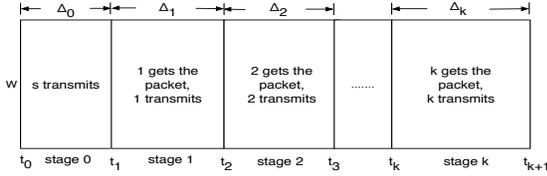


Fig. 3. Optimal timeslot and transmission structure. In each stage, only the node that decodes the packet at the beginning of that stage transmits.

*ordering of relays* for each subset of the set of relay nodes. While such an approach is guaranteed to find the optimal solution, it has a huge computational complexity of  $O(n!)$  linear programs. In the next section, we show that the above computation can be simplified significantly by making use of a structural property of the optimal solution.

### C. Characterizing the Optimal Solution of (1)

Let  $\mathcal{R}_{opt}$  denote the subset of relay nodes that take part in the routing process in the optimal solution. Let  $k = |\mathcal{R}_{opt}|$  be the size of this set. Also, let  $\mathcal{O}_{opt}$  be the optimal ordering. Note that, by definition, each node in  $\mathcal{R}_{opt}$  transmits for a non-zero duration (else, we can remove it from the set without affecting the minimum total transmission time). Then, we have the following:

*Theorem 1:* Under the optimal solution to the minimum delay routing problem (1), in each stage  $j$ , it is optimal for only one node to transmit, and that node is node  $j$ .

*Proof: (Theorem 1):* The proof of Theorem 1 is based on an induction argument where we show that, for all  $k$ , if the property implied by Theorem 1 holds in all stages  $j > k$ , then it also holds for stage  $k$ . The full proof is provided in [1]. ■

Fig. 3 shows the timeslot structure under the optimal solution. The above theorem shows that only one node transmits in each stage, and that the optimal transmission ordering is the same as the ordering that nodes in the set  $\mathcal{R}_{opt}$  decode the packet. Comparing this with the general timeslot structure in Fig. 2, it can be seen that Theorem 1 simplifies problem (1) significantly. Specifically, Theorem 1 implies that, *given the optimal relay set  $\mathcal{R}_{opt}$* , the optimal transmission structure (i.e., the decoding order and the transmission durations) can be computed in a *greedy* fashion as follows. First, the source starts to transmit and continues to do so until any relay node in this set gets the packet. Once this relay node gets the packet, we know from Theorem 1 that the source does not transmit in any of the remaining stages. This node then starts to transmit until another node in the set gets the packet. This process continues until the destination is able to decode the packet. The optimal solution to (1) can then be obtained by applying this greedy transmission strategy to all subsets of relay nodes and picking one that yields the minimum delay.<sup>1</sup> Note that applying this greedy transmission strategy does not require solving an LP. While searching over all subsets still has an exponential complexity of  $O(2^n)$ , it can be used to compute the optimal solution as a benchmark.

<sup>1</sup>We note that the transmission structure characterized by Theorem 1 is similar to the *wavepath property* shown in [22] for the problem of minimum energy unicast routing with energy accumulation in wireless networks. However, our proof technique is significantly different.

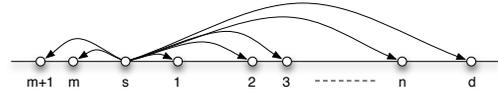


Fig. 4. A line topology.

Theorem 1 also implies that multiple copies of the packet need not be maintained across the network. For example, note that the source need not transmit after the first relay has decoded the packet and therefore can drop the packet from its queue.

We emphasize that the optimal transmission structure suggested by Theorem 1 is not obvious. For example, at the beginning of any stage, the newest addition to the set of relay nodes with the full packet may not have the best links (in terms of transmission capacity) to all the remaining nodes, including the destination. This would suggest that under the optimal solution, in general in each stage, nodes with the full packet should take turns transmitting the packet. However, Theorem 1 states that such time-sharing is not required.

### D. Exact Solution for a Line Topology

In this section, we present the optimal solution for a special case of line topologies. Specifically, all nodes are located on a line as shown in Fig. 4 and no two nodes are co-located. We assume that each node transmits at the same PSD  $P$ . Further, the transmission capacity  $C_{ij}$  between any two nodes  $i$  and  $j$  depends only on the distance  $d_{ij}$  between the two nodes and is a monotonically decreasing function of  $d_{ij}$ . For example, we may have that  $C_{ij} = \log_2(1 + \frac{h_{ij}P}{N_0})$  where  $P$  is the PSD and  $h_{ij} = \frac{1}{d_{ij}^\alpha}$  where  $\alpha \geq 2$  is the path loss coefficient. Under these assumptions, we can determine the optimal cooperating set for the problem of routing with mutual information accumulation as follows.

*Lemma 1:* The optimal cooperating set for the line topology as described above is given by the set of all relay nodes located between the source and the destination.

*Proof: (Lemma 1):* See [1]. ■

To get an idea of the reduction in delay achieved by using mutual information accumulation over traditional routing, consider the line topology above with  $n$  nodes placed between  $s$  and  $d$  at equal distance such that  $d_{i,i+1} = 1$  for all  $i$ . Also, suppose the transmission capacity on link  $i - j$  is given by  $C_{ij} = \frac{\gamma P}{d_{ij}^2}$  where  $\gamma > 0$  is a constant. Then the capacity of link  $s - 1$  is  $\gamma P$ , the capacity of link  $s - 2$  is  $\frac{\gamma P}{4}$ , the capacity of link  $s - 3$  is  $\frac{\gamma P}{9}$ , and so on. Define  $\theta \triangleq \gamma P$ . Then, the minimum delay for routing with mutual information accumulation is given by  $\sum_{i=0}^n \Delta_i$  where:

$$\begin{aligned} \Delta_0 &= \frac{I_{max}}{C_{s1}} = \frac{I_{max}}{\theta}, \Delta_1 = \frac{I_{max} - \Delta_0 C_{s2}}{C_{12}} = \frac{I_{max} - \Delta_0 \frac{\theta}{4}}{\theta} \\ &\vdots \\ \Delta_n &= \frac{I_{max} - \sum_{i=0}^{n-1} \Delta_i C_{i,n+1}}{C_{n,n+1}} = \frac{I_{max} - \sum_{i=0}^{n-1} \Delta_i \frac{\theta}{(n+1-i)^2}}{\theta} \end{aligned}$$

For simplicity, let us ignore the contribution of nodes that are more than 3 units away from a receiver. Then, we have:

$$\begin{aligned} \sum_{i=0}^n \Delta_i &= \frac{(n+1)I_{max} - \frac{\theta}{4} \sum_{i=0}^{n-1} \Delta_i - \frac{\theta}{9} \sum_{i=0}^{n-2} \Delta_i}{\theta} \\ \Rightarrow \sum_{i=0}^n \Delta_i &= \frac{(n+1)I_{max} + \frac{\theta}{4} \Delta_n + \frac{\theta}{9} (\Delta_n + \Delta_{n-1})}{\theta(1 + \frac{1}{4} + \frac{1}{9})} \\ &< \frac{(n+1)I_{max} + \frac{\theta}{4} \Delta_0 + \frac{\theta}{9} 2\Delta_0}{\theta(1 + \frac{1}{4} + \frac{1}{9})} = \frac{I_{max}}{\theta} \left( \frac{n+1 + \frac{1}{4} + \frac{2}{9}}{1 + \frac{1}{4} + \frac{1}{9}} \right) \end{aligned}$$

where we used the fact that  $\Delta_n, \Delta_{n-1} < \Delta_0$ . The minimum delay for traditional routing is simply  $(n+1)\Delta_0 = (n+1)\frac{I_{max}}{\theta}$ . Thus, for this network, the delay under mutual information accumulation is smaller than that under traditional routing at least by a factor  $\frac{n+1+\frac{1}{4}+\frac{2}{9}}{(n+1)(1+\frac{1}{4}+\frac{1}{9})}$  that approaches  $\frac{36}{49} = 73\%$  for large  $n$ .

#### IV. MINIMUM ENERGY ROUTING WITH DELAY CONSTRAINT

Next, we consider the problem of minimizing the sum total energy to transmit a packet from the source to destination using mutual information accumulation subject to a given delay constraint  $D_{max}$ . This problem is more challenging than problem (1) since in addition to optimizing over the cooperating relay set and the transmission order of nodes in that set, it also involves determining the PSD values to be used for each node. Further, a cooperating relay node may need to transmit at different PSD levels during different stages of the transmission schedule.

##### A. Problem Formulation

Consider a transmission strategy (similar to the one discussed in Section III-A) that is described by a cooperating relay set  $\mathcal{R}$  of size  $|\mathcal{R}| = k$  and a decoding order  $\mathcal{O}$ . Let the terms  $\Delta_j$  and  $A_{ij}$  be defined in a similar fashion. Also, let  $P_{ij}$  denote the PSD at which node  $i$  transmits in stage  $j$ . Then for any transmission strategy  $\mathcal{G}$  that uses the subset of relay nodes  $\mathcal{R}$  with an ordering  $\mathcal{O}$ , the minimum sum total energy to transmit a packet from source to destination subject to the delay constraint  $D_{max}$  is given by the solution to the following optimization problem:

$$\begin{aligned} \text{Minimize: } & \sum_{j=0}^k \sum_{i=0}^j A_{ij} P_{ij} \\ \text{Subject to: } & \sum_{j=0}^k \Delta_j \leq D_{max} \\ & \sum_{i=0}^{m-1} \sum_{j=0}^{m-1} A_{ij} C_{im}(P_{ij}) \geq I_{max} \quad \forall m \in \{1, \dots, k+1\} \\ & \sum_{i=0}^j A_{ij} \leq \Delta_j \quad \forall j \in \{0, 1, 2, \dots, k\} \\ & A_{ij}, P_{ij} \geq 0 \quad \forall i \in \{0, 1, 2, \dots, k\}, j \in \{0, 1, \dots, k\} \\ & A_{ij} = 0, P_{ij} = 0 \quad \forall i > j \\ & \Delta_j \geq 0 \quad \forall j \in \{0, 1, 2, \dots, k\} \end{aligned} \quad (2)$$

where the first constraint represents requirement that the total delay must not exceed  $D_{max}$ . The second constraint captures the requirement that node  $m$  in the ordering must accumulate at least  $I_{max}$  amount of mutual information by the end of stage  $m-1$  using all transmissions in all stages up to stage  $m-1$ . Note that in the second constraint,  $C_{im}(P_{ij})$  denotes the transmission capacity of link  $i-m$  in stage  $j$  and it is a function of  $P_{ij}$ , the PSD of node  $i$  in stage  $j$ . Also note that (2) is not a linear program in general, since the  $C_{im}(P_{ij})$  may be non-linear in  $P_{ij}$ .

##### B. Characterizing the Optimal Solution of (2)

Let  $\mathcal{R}_{opt}$  denote the subset of relay nodes that take part in the routing process in the optimal solution. Let  $k = |\mathcal{R}_{opt}|$  be the size of this set. Also, let  $\mathcal{O}_{opt}$  be the optimal ordering. Note that, by definition, each node in  $\mathcal{R}_{opt}$  transmits for a non-zero duration (else, we can remove it from the set without affecting the sum total energy). Finally, let  $P_{ij}^{opt}$  denote the optimal PSD used by node  $i$  in stage  $j$ . Then, similar to Theorem 1, we have the following:

*Theorem 2:* Under the optimal solution to the minimum energy routing with delay constraint problem (2), in each stage  $j$ , it is optimal for only one node to transmit, and that node is node  $j$ .

*Proof: (Theorem 2):* Note that given any fixed set of power levels  $P_{ij}$ , the problem (2) becomes linear in the other variables and is similar to the problem (1). Thus, similar arguments as in the proof of Theorem 1 can be applied to establish this property and we omit the details for brevity. ■

Although Theorem 2 simplifies the optimization problem (2), it does not yield a greedy transmission strategy applied over all subsets (similar to the one in Section III-C) for computing the optimal solution. This is because the transmission order generated by the greedy strategy depends on the power levels used. For general non-linear rate-power functions, different power levels can give rise to different decoding orders for the same relay set under the greedy strategy (see [1] for an example). Thus, solving (2) may involve searching over all possible orderings of all possible subsets. However, for the special, yet important case of *linear* rate-power functions, this problem can be simplified considerably. A linear rate-power function is a good approximation for the low SNR regime. For example, in sensor networks where bandwidth is plentiful and power levels are small, it is reasonable to assume that the nodes operate in the low SNR regime. In the following, we will assume that the transmission capacity  $C_{ij}(P_i)$  on link  $i-j$  is given by  $C_{ij}(P_i) = \gamma P_i h_{ij}$  (in units of bits/sec/Hz) where  $\gamma$  is a constant and  $P_i$  is the PSD of node  $i$ . Then, we have the following:

*Theorem 3:* For linear rate-power functions, the decoding order of nodes in the optimal set  $\mathcal{R}_{opt}$  under the greedy transmission strategy is the same for all non-zero power allocations. Further, the sum total power required to transmit a packet from the source to the destination is the same for all non-zero power allocations.

*Proof: (Theorem 3):* The full proof is provided in [1]. ■

### C. A Greedy Algorithm

Theorem 2 suggests a simple method for computing the optimal solution to (2) when the rate-power function is linear. Specifically, we start by setting all PSD levels to the same value, say some  $P > 0$ . From Theorem 3, we know that the sum total power required to transmit a packet from the source to the destination is the same for all non-zero power allocations. Then, solving (2) is equivalent to solving the minimum delay problem (1) with given power levels, except the delay constraint. This can be done using the greedy strategy described in Section III-C. If the solution obtained satisfies the delay constraint  $D_{max}$ , then we are done. Else, suppose we get a delay  $D > D_{max}$ . Then, we can scale up the power level  $P$  by a factor  $\frac{D}{D_{max}}$  and scale down the duration of each stage  $\Delta_j$  by the same factor. This ensures that the delay constraint is met while the sum total power used remains the same.

## V. MINIMUM DELAY BROADCAST

Next, we consider the problem of minimum delay broadcast for the network model described in Section II. In this problem, starting with the source node, the goal is to deliver the packet to all nodes in the network in minimum time with mutual information accumulation. We assume that there are  $n$  nodes in the network other than the source. Similar problems have been considered in [23]–[25] which focus on energy accumulation and where the goal is to broadcast the packet to all nodes using minimum sum total energy.

### A. Timeslot and Transmission Structure

For the minimum delay broadcast problem, the transmission strategy and resulting time timeslot structure under a general policy is similar to the one discussed for the minimum delay routing problem in Section III-A. Specifically, let  $\mathcal{O}$  be the ordering of the  $n$  nodes that represents the sequence in which they successfully decode the packet under any strategy. Without loss of generality, let the nodes in the ordering  $\mathcal{O}$  be indexed as  $1, 2, 3, \dots, n$ . Also, let the source  $s$  be indexed as 0. Initially, only the source has the packet. Let  $t_0$  be the time when it starts its transmission and let  $t_1, t_2, \dots, t_n$  denote the times when nodes  $1, 2, \dots, n$  in the ordering  $\mathcal{O}$  accumulate enough mutual information to decode the packet. We say that the transmission occurs over  $n$  stages, where stage  $j, j \in \{0, 1, 2, \dots, n-1\}$  represents the interval  $[t_j, t_{j+1}]$ . Note that in any stage  $j$ , the first  $j$  nodes in the ordering  $\mathcal{O}$  and the source have the fully decoded packet. Thus, any subset of these nodes (including potentially all of them) may transmit during this stage. For each  $j$ , define the duration of stage  $j$  as  $\Delta_j = t_{j+1} - t_j$ . Also, let  $A_{ij}$  denote the transmission duration for node  $i$  in stage  $j$ . As before, we have that  $A_{ij} = 0$  if  $i > j$ , else  $A_{ij} \geq 0$ . The total time to deliver the packet to all the  $n$  nodes is given by  $T_{tot} = t_n - t_0 = \sum_{j=0}^{n-1} \Delta_j$ .

### B. Problem Formulation

For any transmission strategy that results in the decoding order  $\mathcal{O}$ , the minimum delay for broadcast is given by the

solution to the following optimization problem:

$$\begin{aligned} \text{Minimize: } T_{tot} &= \sum_{j=0}^{n-1} \Delta_j \\ \text{Subject to:} \\ \sum_{i=0}^{m-1} \sum_{j=0}^{m-1} A_{ij} C_{im} &\geq I_{max} \quad \forall m \in \{1, 2, \dots, n\} \\ \sum_{i=0}^j A_{ij} &\leq \Delta_j \quad \forall j \in \{0, 1, 2, \dots, n-1\} \\ A_{ij} &\geq 0 \quad \forall i \in \{0, 1, 2, \dots, n-1\}, j \in \{0, 1, 2, \dots, n-1\} \\ A_{ij} &= 0 \quad \forall i > j \\ \Delta_j &\geq 0 \quad \forall j \in \{0, 1, 2, \dots, n-1\} \end{aligned} \quad (3)$$

This is similar to (1) except that the set  $\mathcal{R}$  contains all  $n$  nodes and that  $d$  is not necessarily the last node to decode the packet. As in (1), the first constraint captures the requirement that node  $m$  in the decoding order  $\mathcal{O}$  must accumulate at least  $I_{max}$  amount of mutual information by the end of stage  $m-1$  using transmissions in all stages up to stage  $m-1$ . The second constraint means that in every stage  $j$ , the total transmission time for all nodes that have the fully decoded packet in that stage cannot exceed the length of that stage. Similar to (1), the above problem is a linear program and thus can be solved efficiently for a given ordering  $\mathcal{O}$ . This is the approach taken in [24] (with energy accumulation instead of mutual information accumulation, and with the objective of minimizing total energy for broadcast instead of delay) that proposes solving such a linear program for *every possible ordering* of the  $n$  nodes, resulting in  $n!$  linear programs. In the next section, we show that the above computation can be simplified by making use of a structural property of the optimal solution that is similar to the results of Theorems 1 and 2. This results in a greedy algorithm that does not require solving such linear programs to compute the optimal solution.

### C. Characterizing the Optimal Solution of (3)

Let  $\mathcal{O}_{opt}$  be the decoding order under the optimal solution. Suppose the nodes in the ordering are labeled as  $\{0, 1, 2, \dots, n-1, n\}$  with 0 being the source node. Then, similar to Theorems 1 and 2, we have the following:

*Theorem 4:* Under the optimal solution to the minimum delay broadcast problem (3), in each stage  $j$ , it is optimal for at most one node to transmit.

*Proof:* (Theorem 4): The full proof is provided in [1]. ■

While Theorem 4 states that under the optimal solution, at most one node transmits in each stage  $j$ , unlike Theorems 1 and 2, it does not say that this node must be node  $j$ . In fact, this node could be any one of the nodes that have the full packet. Specifically, let  $r_j$  be the node that transmits in stage  $j$ . Then, using Theorem 4, we have that  $r_j \in \{0, 1, 2, \dots, j\}$ . The optimal timeslot structure for the minimum delay broadcast problem is shown in Fig. 5. Note that unlike the optimal timeslot structure for the minimum delay routing problem (Fig. 3), here it is possible for a node to transmit more than once over the course of the broadcast. This property does not reduce

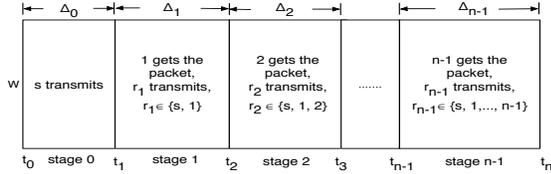


Fig. 5. Optimal timeslot and transmission structure for minimum delay broadcast. In each stage, at most one node from the set of nodes that have the full packet transmits.

the complexity of finding the optimal solution from  $O(n!)$  linear programs to  $O(2^n)$  runs of a greedy strategy. However, we show in [1] that it still leads to a greedy algorithm for finding the optimal solution that does not require solving  $n!$  linear programs like in [24].

## VI. DISTRIBUTED HEURISTICS AND SIMULATIONS

The greedy algorithm presented in Section III-C to compute the optimal solution to problem (1) has an exponential computational complexity and is centralized. In this section, we present two simple heuristics that can be implemented in polynomial time and in a distributed fashion. We compare the performance of these heuristics with the optimal solution on general network topologies. We also show the performance of the traditional minimum delay route that does not use mutual information accumulation.

*Heuristic 1:* Here, first the traditional minimum delay route is computed using, say, Dijkstra's shortest path algorithm on the weighted graph (where the weight  $w_{ij}$  of link  $i - j$  is defined as the time required to deliver a packet from  $i$  to  $j$ , i.e.,  $w_{ij} = \frac{I_{max}}{C_{ij}}$ ). Let  $\mathcal{M}$  denote the set of relay nodes that form this minimum delay shortest path. Then the greedy algorithm as described in Section III-C is applied on the set of nodes in  $\mathcal{M}$ . Note that we are not searching over all subsets of  $\mathcal{M}$ .<sup>2</sup> Thus, the complexity of this heuristic is same as that of any shortest path algorithm, i.e.,  $O(|\mathcal{M}|^2)$ .

*Heuristic 2:* Here, we start with  $\mathcal{M}$  as the initial cooperative set. Then, while applying the greedy algorithm of Section III-C, if other nodes that are not in  $\mathcal{M}$  happen to decode the packet before the next node (where the next node is defined as that node in  $\mathcal{M}$  that would decode the packet if the current transmitter continued its transmission), then these nodes are added to the cooperative set if they have a better channel to the next node than the current transmitter. The intuition behind this heuristic is that while  $\mathcal{M}$  is expected to be a good cooperative set, this allows the algorithm to explore more nodes and potentially improve over Heuristic 1.

In our simulations, we consider a network of a source, destination, and  $n$  relay nodes located in a  $10 \times 10$  area. The location of source (1.0, 2.0) and destination (8.0, 8.0) is fixed while the locations of the other nodes are chosen uniformly at random. The link gain between any two nodes  $i$  and  $j$  is chosen from a Rayleigh distribution with mean 1. For simplicity, all nodes have the same PSD. The total bandwidth  $W$  and packet size  $I_{max}$  are both normalized to one unit. The transmission

<sup>2</sup>It may be possible to get further gains by searching over all subsets of  $\mathcal{M}$ , but the worst case complexity of doing so would again be exponential. Our goal here is to develop polynomial time algorithms.

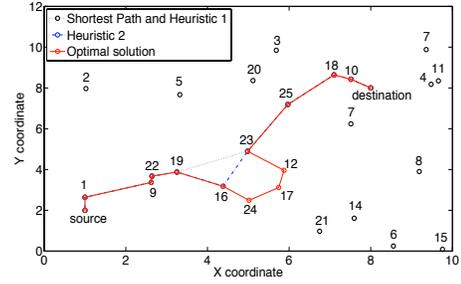


Fig. 6. A 25 node network where the routes for traditional minimum delay, Heuristics 1 and 2, and optimal mutual information accumulation are shown.

capacity of link  $i - j$  is assumed to be  $C_{ij} = \log_2 \left( 1 + \frac{h_{ij}}{d_{ij}^\alpha} \right)$  where  $d_{ij}$  is the distance between nodes  $i$  and  $j$  and  $\alpha$  is the path loss exponent. We choose  $\alpha = 3$  for all simulations.

In the first simulation,  $n = 25$  and the network topology is fixed as shown in Fig. 6. We then compute the traditional minimum delay route and the optimal solution for routing with mutual information accumulation using the greedy algorithm of Section III-C. We also implement Heuristics 1 and 2 on this network. Fig. 6 shows the results. It is seen that the traditional minimum delay route is given by  $[s, 1, 9, 22, 19, 23, 25, 18, 10, d]$  while the optimal mutual information accumulation route (according to the decoding order) is given by  $[s, 1, 9, 22, 19, 16, 24, 17, 12, 23, 25, 18, 10, d]$ . The decoding order of nodes under Heuristic 1 is same as that under the traditional minimum delay route while that under Heuristic 2 is given by  $[s, 1, 9, 22, 19, 16, 23, 25, 18, 10, d]$ . The total delay under traditional minimum delay routing, Heuristic 1, Heuristic 2, and optimal mutual information accumulation routing was found to be 29.84, 23.73, 22.99 and 22.19 seconds respectively.

This example demonstrates that the optimal route under mutual information accumulation can be quite different from the traditional minimum delay path. It is also interesting to note that the set of nodes in  $\mathcal{M}$  is a subset of the cooperative relay set in this example. However, this does not hold in general. We also note that the delay under both Heuristics 1 and 2 is close to the optimal value. Finally, while Heuristic 1 only uses the nodes in  $\mathcal{M}$ , Heuristic 2 explores more and ends up using node 16 as well.

In the second simulation, we choose  $n = 20$ . The source and destination locations are fixed as before but the locations of the relay nodes are varied randomly over 100 instances. For each topology instance, we compute the minimum delay obtained by these 4 algorithms. In Fig. 7, we plot the cumulative distribution function (CDF) of the ratio of the minimum delay under the two heuristics and the traditional shortest path to the minimum delay under the optimal mutual information accumulation solution. From this, it can be seen that both Heuristic 1 and 2 perform quite well over general network topologies. In fact, they are able to achieve the optimal performance 40% and 60% of the time respectively. Further, they are within 10% of the optimal at least 90% of the time and within 15% of the optimal at least 98% of the time. Also, Heuristic 2 is seen to outperform Heuristic 1 in general. Finally, the average delay in routing with mutual information

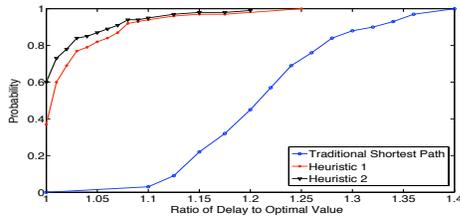


Fig. 7. The CDF of the ratio of the minimum delay under the two heuristics and the traditional shortest path to the minimum delay under the optimal mutual information accumulation solution.

accumulation was found to be 77% of the average delay of traditional shortest path routing (where the average is taken over the 100 random topologies). It is interesting to note that once the optimal relay set is computed, the only overhead of a mutual information accumulation based scheme over traditional shortest path is in the decoding and re-encoding operations. In particular, such schemes only require a 1-bit feedback from a receiver when it has successfully decoded the packet and do not require sophisticated synchronization, coordination, or extensive channel state information feedback.

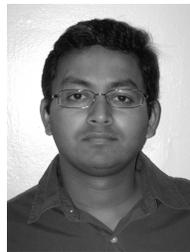
## VII. CONCLUSIONS

In this work, we considered three problems involving optimal routing and scheduling over a multi-hop wireless network using mutual information accumulation. We formulated the general problems as combinatorial optimization problems and then made use of several structural properties to simplify their solutions and derive optimal greedy algorithms. A key feature of these algorithms is that unlike prior works on these problems, they do not require solving any linear programs to compute the optimal solution. While these greedy algorithms still have exponential complexity, they are significantly simpler than prior schemes and allow us to compute the optimal solution as a benchmark. We also proposed two simple and practical heuristics that exhibit very good performance when compared to the optimal solution.

## REFERENCES

- [1] R. Urgaonkar and M. J. Neely. Optimal routing with mutual information accumulation in wireless networks. *Tech. Report arXiv:1008.4896*.
- [2] G. Kramer, I. Maric, and R. D. Yates. Cooperative communications. *Found. Trends Netw.*, vol. 1, pp. 271-425, 2006.
- [3] J. N. Laneman, D. N. C. Tse, and G. W. Wornell. Cooperative diversity in wireless networks: Efficient protocols and outage behavior. *IEEE Trans. Inform. Theory*, vol. 50, no. 12, pp. 3062-3080, Dec. 2004.
- [4] R. Mudumbai, G. Barriac, and U. Madhow. On the feasibility of distributed beamforming in wireless networks. *IEEE Trans. Wireless Comm.*, vol. 6, no. 5, pp. 1754-1763, May 2007.
- [5] J. N. Laneman and G. W. Wornell. Distributed space-time coded protocols for exploiting cooperative diversity in wireless networks. *IEEE Trans. on Inform. Theory*, vol. 49, no. 10, pp. 2415-2425, Oct. 2003.
- [6] A. F. Molisch, N. B. Mehta, J. Yedidia, and J. Zhang. Performance of fountain codes in collaborative relay networks. *IEEE Trans. Wireless Comm.*, vol. 6, no. 11, pp. 4108-4119, Nov. 2007.
- [7] S. C. Draper, L. Liu, A. F. Molisch, and J. Yedidia. Routing in cooperative wireless networks with mutual-information accumulation. *Proc. of IEEE ICC*, May 2008.
- [8] M. Luby. LT codes. *Proc. 43rd Annual IEEE Symp. Foundations of Computer Science (FOCS)*, pp. 271-282, 2002.
- [9] J. W. Byers, M. Luby, and M. Mitzenmacher. A digital fountain approach to asynchronous reliable multicast. *IEEE J. Selected Areas Comm.*, vol. 20, no. 8, pp. 1528-1540, Oct. 2002.

- [10] A. Shokrollahi. Raptor codes. *Proc. Int. Symp. Inform. Theory*, 2004.
- [11] D. J. C. MacKay. Fountain codes. *IEE Proceedings Communications*, vol. 152, no. 6, pp. 1062-1068, Dec. 2005.
- [12] J. Castura and Y. Mao. Rateless coding and relay networks. *IEEE Signal Processing Magazine*, vol. 24, no. 5, pp. 27-35, Sept. 2007.
- [13] X. Liu and T. J. Lim. Fountain codes over fading relay channels. *IEEE Trans. Wireless Comm.*, vol. 8, no. 6, pp. 3278-3287, June 2009.
- [14] C. Lott and D. Teneketziz. Stochastic routing in ad-hoc networks. *IEEE Trans. Automatic Control*, vol. 51, no. 1, pp. 52-70, Jan. 2006.
- [15] R. Laufer, H. Dubois-Ferriere, and L. Kleinrock. Multirate anypath routing in wireless mesh networks. *Proc. IEEE INFOCOM*, April 2009.
- [16] M. J. Neely and R. Urgaonkar. Optimal backpressure routing in wireless networks with multi-receiver diversity. *Ad Hoc Networks (Elsevier)*, vol. 7, no. 5, pp. 862-881, July 2009.
- [17] H. Dubois-Ferriere, M. Grossglauser, and M. Vetterli. Least-cost opportunistic routing. *Allerton Conference*, Sept. 2007.
- [18] A. E. Khandani, J. Abounadi, E. Modiano, and L. Zheng. Cooperative routing in static wireless networks. *IEEE Trans. Comm.*, vol. 55, no. 11, Nov. 2007.
- [19] S. Sharma, Y. Shi, Y. T. Hou, H. D. Sherali, and S. Kompella. Cooperative communications in multi-hop wireless networks: Joint flow routing and relay node assignment. *Proc. IEEE INFOCOM*, April 2010.
- [20] M. Dehghan, M. Ghaderi, and D. Goeckel. Cooperative diversity routing in wireless networks. *Proc. WiOpt 2010*, June 2010.
- [21] M. Baghaie and B. Krishnamachari. Delay constrained minimum energy broadcast in cooperative wireless networks. *Proc. IEEE INFOCOM 2011*, April 2011.
- [22] J. Chen, L. Jia, X. Liu, G. Noubir, and R. Sundaram. Minimum energy accumulative routing in wireless networks. *Proc. IEEE INFOCOM*, March 2005.
- [23] M. Agarwal, J. H. Cho, L. Gao, and J. Wu. Energy efficient broadcast in wireless ad hoc networks with hitch-hiking. *Proc. IEEE INFOCOM*, March 2004.
- [24] I. Maric and R. D. Yates. Cooperative multihop broadcast for wireless networks. *IEEE J. Selected Areas Comm.*, vol. 22, no. 6, pp. 1080-1088, Aug. 2004.
- [25] B. Sirkeci-Mergen and A. Scaglione. On the power efficiency of cooperative broadcast in dense wireless networks. *IEEE J. Selected Areas Comm.*, vol. 25, no. 2, pp. 497-507, Feb. 2007.
- [26] I. Maric and R. D. Yates. Cooperative multicast for maximum network lifetime. *IEEE J. Selected Areas Comm.*, vol. 23, pp. 127-35, Jan. 2005.
- [27] R. Yim, N. Mehta, A. Molisch, and J. Zhang. Progressive accumulative routing: Fundamental concepts and protocol. *IEEE Trans. Wireless Comm.*, vol. 7, no. 11, pp. 4142-4154, Nov. 2008.



**Rahul Urgaonkar** (S '05) obtained the B.Tech. degree in Electrical Engineering from the Indian Institute of Technology (IIT) Bombay in 2002 and the M.S. and Ph.D. degrees in Electrical Engineering from the University of Southern California, Los Angeles in 2005 and 2011, respectively. He is currently a Network Scientist at Raytheon BBN Technologies, Cambridge, MA. His research interests are in the areas of stochastic network optimization, resource allocation, and scheduling in next generation wireless networks.



**Michael J. Neely** (SM '08) received the B.S. degrees in both electrical engineering and mathematics from the University of Maryland, College Park, in 1997. He was then awarded a Department of Defense NDSEG Fellowship for graduate study at the Massachusetts Institute of Technology, Cambridge, where he received the M.S. and Ph.D. degrees in electrical engineering in 1999 and 2003, respectively.

In 2004, he joined the Electrical Engineering Department, University of Southern California, Los Angeles, where he is currently an Associate Professor. His research interests are in the areas of stochastic network optimization and queueing theory, with applications to wireless networks, mobile ad-hoc networks, and switching systems. Michael received the NSF Career award in 2008 and the Viterbi School of Engineering Junior Research Award in 2009. He is a member of Tau Beta Pi and Phi Beta Kappa.