

# CSCI 104

# Runtime Complexity

Mark Redekopp

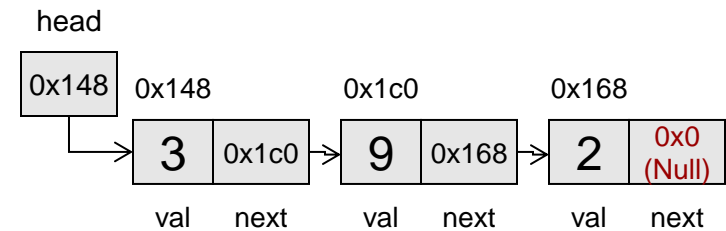
David Kempe

# Runtime

- It is hard to compare the run time of an algorithm on actual hardware
  - Time may vary based on speed of the HW, etc.
    - The same program may take 1 sec. on your laptop but 0.5 second on a high performance server
- If we want to compare 2 algorithms that perform the same task we could try to count operations (regardless of how fast the operation can execute on given hardware)...
  - But what is an operation?
  - How many operations is: `i++` ?
  - `i++` actually requires grabbing the value of `i` from memory and bringing it to the processor, then adding 1, then putting it back in memory. Should that be 3 operations or 1?
  - Its painful to count 'exact' numbers operations
- Big-O, Big-Ω, and Θ notation allows us to be more general (or "sloppy" as you may prefer)

# Complexity Analysis

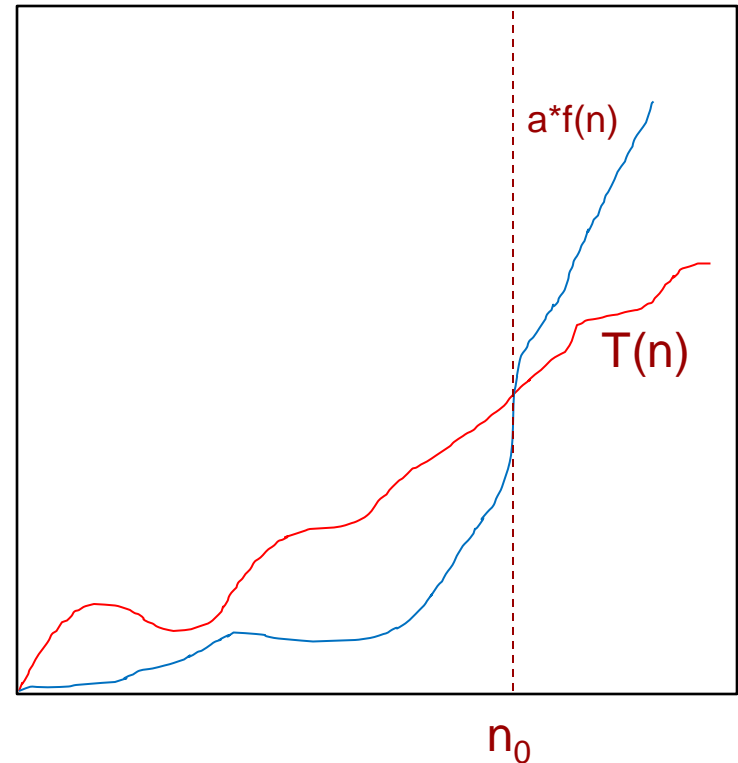
- To find upper or lower bounds on the complexity, we must consider the set of all possible inputs,  $I$ , of size,  $n$
- Derive an expression,  $T(n)$ , in terms of the input size,  $n$ , for the number of operations/steps that are required to solve the problem of a given input,  $i$ 
  - Some algorithms depend on  $i$  and  $n$ 
    - Find(3) in the list shown vs. Find(2)
  - Others just depend on  $n$ 
    - Push\_back / Append
- Which inputs though?
  - Best, worst, or "typical/average" case?
- We will always apply it to the "worst case"
  - That's usually what people care about



**Note: Running time is not just based on an algorithm, BUT algorithm + input data**

# Big-O, Big-Ω

- $T(n)$  is said to be  $O(f(n))$  if...
  - $T(n) < a * f(n)$  for  $n > n_0$  (where  $a$  and  $n_0$  are constants)
  - Essentially an upper-bound
  - We'll focus on big-O for the worst case
- $T(n)$  is said to be  $\Omega(f(n))$  if...
  - $T(n) > a * f(n)$  for  $n > n_0$  (where  $a$  and  $n_0$  are constants)
  - Essentially a lower-bound
- $T(n)$  is said to be  $\Theta(f(n))$  if...
  - $T(n)$  is both  $O(f(n))$  AND  $\Omega(f(n))$



# Worst Case and Big- $\Omega$

- What's the lower bound on List::find(val)
  - Is it  $\Omega(1)$  since we might find the given value on the first element?
  - Well it could be if we are finding a lower bound on the 'best case'
- Big- $\Omega$  does **NOT** have to be **synonymous** with 'best case'
  - Though many times it mistakenly is
- You can have:
  - Big-O for the best, average, worst cases
  - Big- $\Omega$  for the best, average, worst cases
  - Big- $\Theta$  for the best, average, worst cases

# Worst Case and Big- $\Omega$

- The key idea is an algorithm may perform differently for different input cases
  - Imagine an algorithm that processes an array of size  $n$  but depends on what data is in the array
- Big- $O$  for the *worst-case* says **ALL** possible inputs are bound by  $O(f(n))$ 
  - Every possible combination of data is at MOST bound by  $O(f(n))$
- Big- $\Omega$  for the *worst-case* is attempting to establish a lower bound (at-least) for the worst case (the worst case is just one of the possible input scenarios)
  - If we look at the first data combination in the array and it takes  $n$  steps then we can say the algorithm is  $\Omega(n)$ .
  - Now we look at the next data combination in the array and the algorithm takes  $n^{1.5}$ . We can now say worst case is  $\Omega(n^{1.5})$ .
- To arrive at  $\Omega(f(n))$  for the *worst-case* requires you simply to find **AN** input case (i.e. the worst case) that requires **at least**  $f(n)$  steps

# Deriving $T(n)$

- Derive an expression,  $T(n)$ , in terms of the input size for the number of operations/steps that are required to solve a problem
- If is true  $\Rightarrow 4$
- Else if is true  $\Rightarrow 5$
- Worst case  $\Rightarrow T(n) = 5$

```
#include <iostream>

using namespace std;

int main()
{
    int i = 0;           1
    x = 5;               1

    if(i < x){          1
        x--;            1
    }
    else if(i > x){     1
        x += 2;         1
    }
    return 0;
}
```

# Deriving T(n)

- Since loops repeat you have to take the sum of the steps that get executed over all iterations

- $T(n) =$

- $= \sum_{i=0}^{n-1} 5 = 5 * n$

- Or you can setup a relationship like:

- $T(n) = T(n - 1) + 5$

- $= T(n - 2) + 5 + 5$

- $= \sum_{i=0}^{n-1} 5 = 5 * n$

- $= \sum_{i=0}^{n-1} O(1) = O(n)$

```
#include <iostream>
using namespace std;

int main()
{

    for(int i=0; i < N; i++){
        x = 5;
        if(i < x){
            x--;
        }
        else if(i > x){
            x += 2;
        }
    }
    return 0;
}
```



# Common Summations

- $\sum_{i=1}^n i = \frac{n(n+1)}{2} = \theta(n^2)$ 
  - This is called the arithmetic series
- $\sum_{i=1}^n \theta(i^p) = \theta(n^{p+1})$ 
  - This is a general form of the arithmetic series
- $\sum_{i=1}^n c^i = \frac{c^{n+1}-1}{c-1} = \theta(c^n)$ 
  - This is called the geometric series
- $\sum_{i=1}^n \frac{1}{i} = \theta(\log n)$ 
  - This is called the harmonic series

# Skills You Should Gain

- To solve these running time problems try to break the problem into 2 parts:
- FIRST, setup the expression (or recurrence relationship) for the number of operations
- SECOND, solve
  - Unwind the recurrence relationship
  - Develop a series summation
  - Solve the series summation

# Loops

- Derive an expression,  $T(n)$ , in terms of the input size for the number of operations/steps that are required to solve a problem
- $T(n) =$
- $= \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \theta(1) = \sum_{i=0}^{n-1} \theta(n) = \Theta(n^2)$

```
#include <iostream>

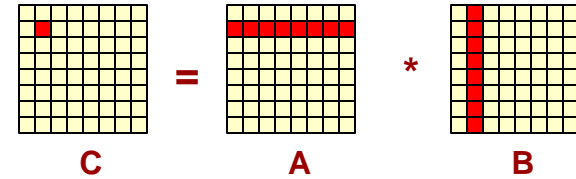
using namespace std;
const int n = 256;
unsigned char image[n][n]
int main()
{
    for(int i=0; i < n; i++){
        for(int j=0; j < n; j++){
            image[i][j] = 0;
        }
    }
    return 0;
}
```

# Matrix Multiply

- Derive an expression,  $T(n)$ , in terms of the input size for the number of operations/steps that are required to solve a problem

- $T(n) =$

- $= \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \sum_{k=0}^{n-1} \theta(1) = \theta(n^3)$



Traditional Multiply

```
#include <iostream>
using namespace std;
const int n = 256;
int a[n][n], b[n][n], c[n][n];
int main()
{
    for(int i=0; i < n; i++){
        for(int j=0; j < n; j++){
            c[i][j] = 0;
            for(int k=0; k < n; k++){
                c[i][j] += a[i][k]*b[k][j];
            }
        }
    }
    return 0;
}
```

# Sequential Loops

- Is this also  $n^3$ ?
- No!
  - 3 for loops, but not nested
  - $O(n) + O(n) + O(n) = 3 * O(n) = O(n)$

```
#include <iostream>

using namespace std;
const int n = 256;
unsigned char image[n][n]
int main()
{
    for(int i=0; i < n; i++){
        image[0][i] = 5;
    }
    for(int j=0; j < n; j++){
        image[1][j] = 5;
    }
    for(int k=0; k < n; k++){
        image[2][k] = 5;
    }
    return 0;
}
```

# Counting Steps

- It may seem like you can just look for nested loops and then raise  $n$  to that power
  - 2 nested for loops  $\Rightarrow O(n^2)$
- But be careful!!
- You have to count steps
  - Look at the update statement
  - Outer loop increments by 1 each time so it will iterate  $N$  times
  - Inner loop updates by dividing  $x$  in half each iteration?
    - After 1<sup>st</sup> iteration  $\Rightarrow x=n/2$
    - After 2<sup>nd</sup> iteration  $\Rightarrow x=n/4$
    - After 3<sup>rd</sup> iteration  $\Rightarrow x=n/8$
    - Say  $k^{\text{th}}$  iteration is last  $\Rightarrow x = n/2^k = 1$
    - Solve for  $k$
    - $k = \log_2(n)$  iterations
    - $O(n \cdot \log(n))$

```
#include <iostream>
using namespace std;
const int n = 256;

int main()
{
    for(int i=0; i < n; i++){
        int y=0;
        for(int x=n; x != 1; x=x/2){
            y++;
        }
        cout << y << endl;
    }
    return 0;
}
```

# Analyze This

- Count the steps of this example?

- $T(n) = T(n-1) + n-1$
- $0 + 1 + \dots + n-2 + n-1$
- $(n-1)*n/2$

```
#include <iostream>
using namespace std;
const int n = 256;
int a[n];
int main()
{
    for(int i=0; i < n; i++){
        a[i] = 0;
        for(int j=0; j < i; j++){
            a[i] += j;
        }
    }
    return 0;
}
```

# Analyze This

- Count the steps of this example?

```
for (int i = 0; i <= log2(n); i++)  
    for (int j=0; j < (int) pow(2,i); j++)  
        cout << j;
```

- $\sum_{i=0}^{\lg(n)} \sum_{j=0}^{2^i} 1$
- $= \sum_{i=0}^{\lg(n)} 2^i$
- Use the geometric sum eqn.
- $= \sum_{i=0}^{n-1} a^i = \frac{1-a^n}{1-a}$
- So our answer is...
- $\frac{1-2^{\lg(n)+1}}{1-2} = \frac{1-2^{*n}}{-1} = O(n)$



# Another Example

- Count steps here...
  - Think about how many times if statement will evaluate true

```
for (int i = 0; i < n; i++)  
{  
    cout << "i: ";  
    int m = sqrt(n);  
    if( i % m == 0){  
        for (int j=0; j < n; j++)  
            cout << j << " ";  
    }  
    cout << endl;  
}
```

- $T(n) = \sum_{i=0}^{n-1} (\theta(1) + O(n))$
- $T(n) =$

# Another Example

- Count steps here...
  - Think about how many times if statement will evaluate true

```
for (int i = 0; i < n; i++)  
{  
    cout << "i: ";  
    int m = sqrt(n);  
    if( i % m == 0){  
        for (int j=0; j < n; j++)  
            cout << j << " ";  
    }  
    cout << endl;  
}
```

- $T(n) = \sum_{i=0}^{n-1} (\theta(1) + O(n))$
- $T(n) = \sum_{i=0}^{n-1} \theta(1) + \sum_{k=1}^{\sqrt{n}} \sum_{j=1}^n \theta(1)$
- $T(n) = \theta(n) + \sum_{k=1}^{\sqrt{n}} \theta(n)$
- $T(n) = \theta(n) + \theta(n \cdot \sqrt{n})$
- $T(n) = \theta(n^{3/2})$

# What about Recursion

- Assume N items in the linked list
- $T(n) = 1 + T(n-1)$
- $= 1 + 1 + T(n-2)$
- $= 1 + 1 + 1 + T(n-3)$
- $= n = O(n)$

```
void print(Item* head)
{
    if(head==NULL) return;
    else {
        cout << head->val << endl;
        print(head->next);
    }
}
```

# Binary Search

- Assume N items in the data array
- $T(n) =$ 
  - $O(1)$  if base case
  - $O(1) + T(n/2)$
- $= 1 + T(n/2)$
- $= 1 + 1 + T(n/4)$
- $= k + T(n/2^k)$
- Stop when  $2^k = n$ 
  - Implies  $\log_2(n)$  recursions
- $O(\log_2(n))$

```
int bsearch(int data[],
            int start, int end,
            int target)
{
    if(end >= start)
        return -1;
    int mid = (start+end)/2;
    if(target == data[mid])
        return mid;
    else if(target < data[mid])
        return bsearch(data, start, mid,
                        target);
    else
        return bsearch(data, mid, end,
                        target);
}
```

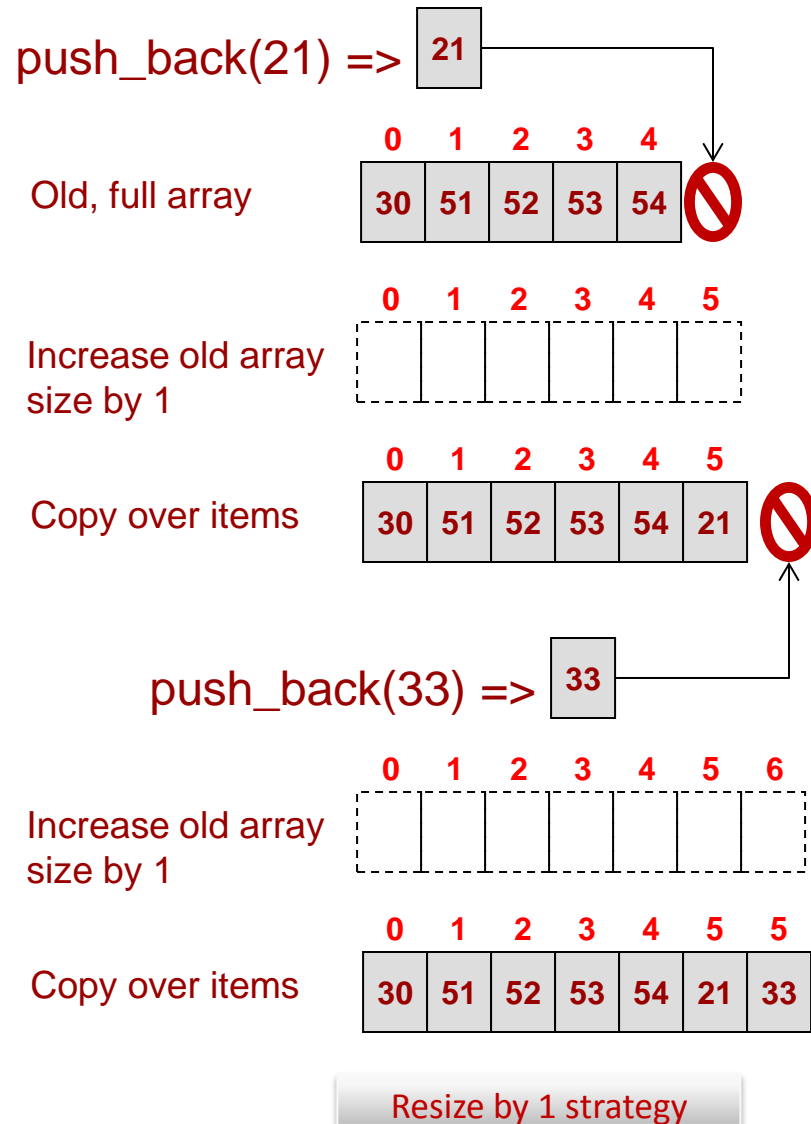
# AMORTIZED RUNTIME

# Example

- You love going to Disneyland. You purchase an annual pass for \$240. You visit Disneyland once a month for a year. Each time you go you spend \$20 on food, etc.
  - What is the cost of a visit?
- Your annual pass cost is spread or "**amortized**" (or averaged) over the duration of its usefulness
- Often times an operation on a data structure will have similar "irregular" costs that we can then amortize over future calls

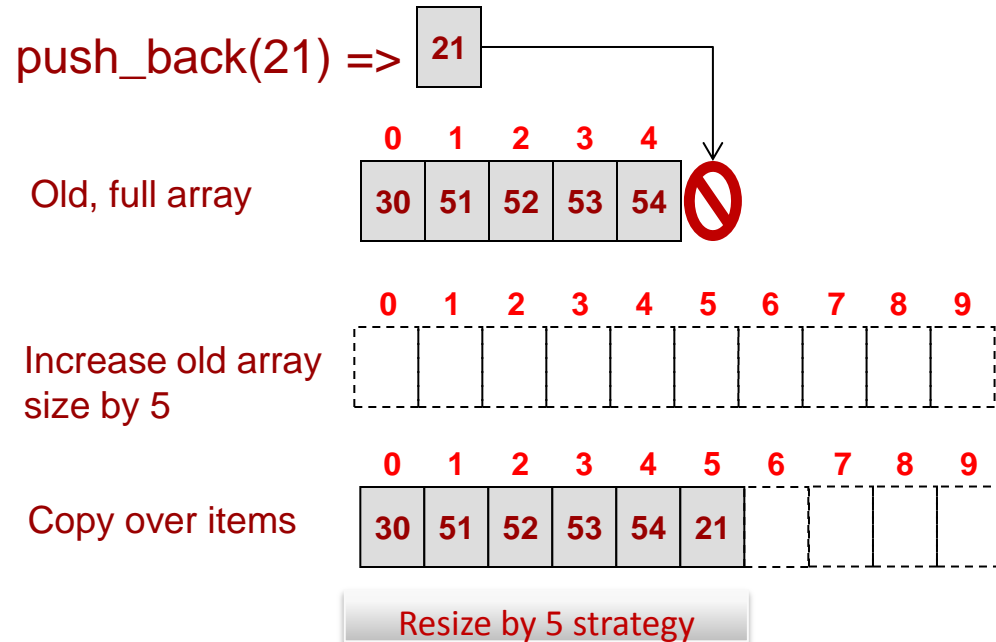
# Amortized Array Resize Run-time

- What is the run-time of insert or push\_back:
  - If we have to resize?
  - $O(n)$
  - If we don't have to resize?
  - $O(1)$
- Now compute the total cost of a series of insertions using resize by 1 at a time
- Each insert now costs  $O(n)$ ... not good



# Amortized Array Resize Run-time

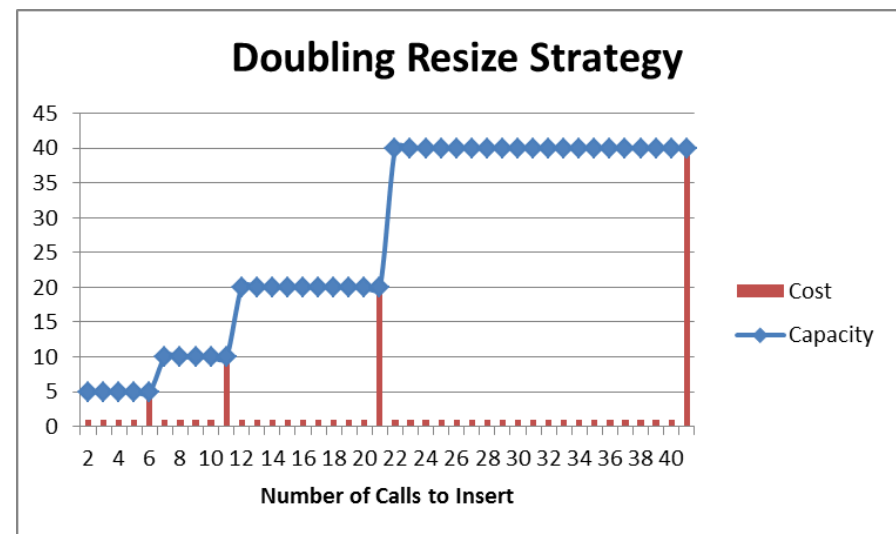
- What if we resize by adding 5 new locations each time
- Start analyzing when the list is full...
  - 1 call to insert will cost: 5
  - What can I guarantee about the next 4 calls to insert?
    - They will cost 1 each because I have room
  - After those 4 calls the next insert will cost: 10
  - Then 4 more at cost=1
- If the list is size n and full
  - Next insert cost = n
  - 4 inserts after than = 1 each
  - Cost for 5 inserts = n+5
  - Runtime = cost / insert = (n+5)/5 =  $O(n)$





## Consider a Doubling Size Strategy

- Start when the list is full and at size  $n$
- Next insertion will cost?
  - $O(n+1)$
- How many future insertions will be guaranteed to be cost = 1?
  - $n-1$  insertions
  - At a cost of 1 each, I get  $n-1$  total cost
- So for the  $n$  insertions my total cost was
  - $n+1 + n-1 = 2*n$
- Amortized runtime is then:
  - Cost / insertions
  - $O(2*n / n) = O(2)$
  - $= O(1) = \text{constant!!!}$



# Another Example

- Let's say you are writing an algorithm to take a n-bit binary combination (3-bit and 4-bit combinations are to the right) and produce the next binary combination
- Assume all the cost in the algorithm is spent changing a bit (define that as 1 unit of work)
- I could give you any combination, what is the worst case run-time? Best-case?
  - $O(n) \Rightarrow 011$  to  $100$
  - $O(1) \Rightarrow 000$  to  $001$

3-bit Binary
000
001
010
011
100
101
110
111

4-bit Binary
0000
0001
0010
0011
0100
0101
0110
0111
1000
1001
1010
1011
1100
1101
1110
1111

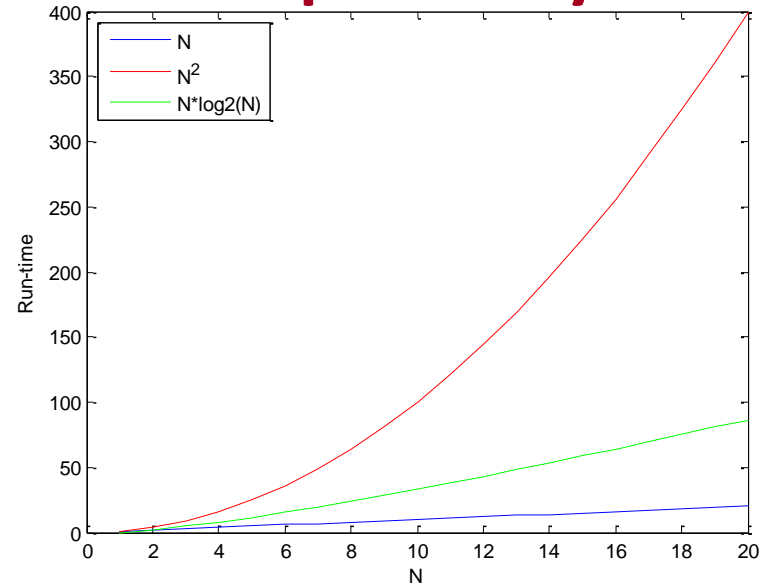
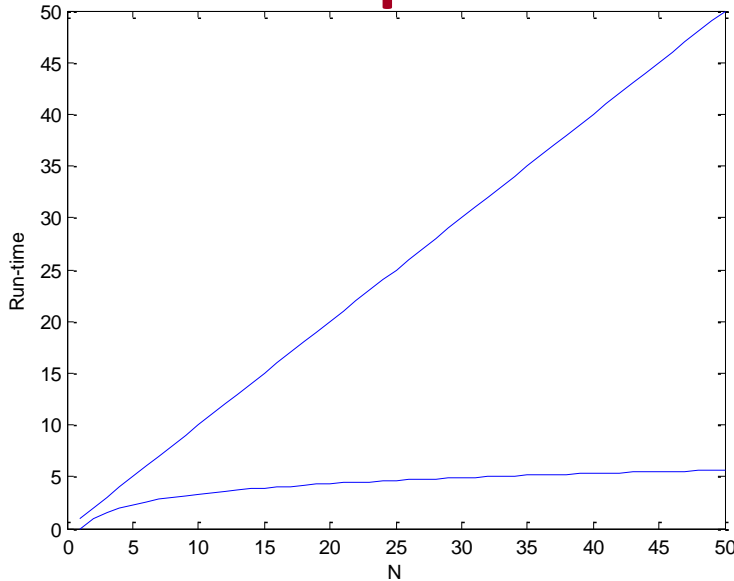
# Another Example

- Now let's consider the program that generates all the combinations sequentially (in order)
  - Starting at 000 => 001 : cost = 1
  - Starting at 001 => 010 : cost = 2
  - Starting at 010 => 011 : cost = 1
  - Starting at 011 => 100 : cost = 3
  - Starting at 100 => 101 : cost = 1
  - Starting at 101 => 110 : cost = 2
  - Starting at 110 => 111 : cost = 1
  - Starting at 111 => 000 : cost = 3
  - Total = 14 / 8 calls = 1.75
- Repeat for the 4-bit
  - 1 + 2 + 1 + 3 + 1 + 2 + 1 + 4 + ...
  - Total = 30 / 16 = 1.875
- As n gets larger...Amortized cost per call = 2

3-bit Binary
000
001
010
011
100
101
110
111

4-bit Binary
0000
0001
0010
0011
0100
0101
0110
0111
1000
1001
1010
1011
1100
1101
1110
1111

# Importance of Complexity



N	$O(1)$	$O(\log_2 n)$	$O(n)$	$O(n \log_2 n)$	$O(n^2)$	$O(2^n)$
2	1	1	2	2	4	4
20	1	4.3	20	86.4	400	1,048,576
200	1	7.6	200	1,528.8	40,000	1.60694E+60
2000	1	11.0	2000	21,931.6	4,000,000	#NUM!