

CSCI 104 List Implementations

Mark Redekopp David Kempe Sandra Batista

Lists

- Ordered collection of items, which may contain duplicate values, usually accessed based on their position (index)
 - Ordered = Each item has an index and there is a front and back (start and end)
 - Duplicates allowed (i.e. in a list of integers, the value 0 could appear multiple times)
 - Accessed based on their position (list[0], list[1], etc.)
- What are the operations you perform on a list?

Things to Do list[2] Get landry Buy groceries Buy groceries



| Operation | Description | Input(s) | Output(s) | |
|-----------------------|---|----------------------|-------------------|--|
| insert | Add a new value at a particular location shifting others back | Index : int Value | | |
| remove | Remove value at the given location | Index : int | Value at location | |
| get / at | Get value at given location | Index : int | Value at location | |
| set | Changes the value at a given location | Index : int Value | | |
| empty | Returns true if there are no values in the list | | bool | |
| size | Returns the number of values in the list | | int | |
| push_back / append | Add a new value to the end of the list | Value | | |
| find | Return the location of a given value | Value | Int : Index | |

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Implementation Options

Linked Implementations

- Allocate each item separately
- Random access (get the i-th element) is O(___)
- Adding new items never requires others to move
- Memory overhead due to pointers

Array-based Implementations

- Allocate a block of memory to hold many items
- Random access (get the i-th element) is O(___)
- Adding new items may require others to shift positions
- Memory overhead due to potentially larger block of memory with unused locations





Implementation Options

- Singly-Linked List
 - With or without tail pointer
- Doubly-Linked List
 - With or without tail pointer
- Array-based List



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LINKED IMPLEMENTATIONS

Array Problems

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- Once allocated an array cannot grow or shrink
- If we don't know how many items will be added we could just allocate an array larger than we need but...
 - We might waste space
 - What if we end up needing more...would need to allocate a new array and copy items
- Arrays can't grow with the needs of the client



Motivation for Linked Lists

- Can we create a list implementation that can easily grow or shrink based on the number of items currently in the list
- Observation: Arrays are allocated and deallocated in LARGE chunks
 - It would be great if we could allocate/deallocate at a finer granularity
- Linked lists take the approach of allocating in small chunks (usually enough memory to hold one item)



Bulk Item (i.e. array)



Single Item (i.e. linked list)

Note

- The basics of linked list implementations was taught in CS 103
 - We assume that you already have basic exposure and practice using a class to implement a linked list
 - We will highlight some of the more important concepts

Linked List

- Use structures/classes and pointers to make 'linked' data structures
- A linked list is...
 - Arbitrarily sized collection of values
 - Can add any number of new values via dynamic memory allocation
 - Supports typical List ADT operations:
 - Insert
 - Get
 - Remove
 - Size (Should we keep a size data member?)
 - Empty
- Can define a List class to encapsulate head 0x148 the head pointer and operations on the list



Rule of thumb: Still use 'structs' for objects that are purely collections of data and don't really have operations associated with them. Use 'classes' when data does have associated functions/methods. 10

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Don't Need Classes

- Notice the class on the previous slide had only 1 data member (the head pointer)
- We don't have to use classes...
 - The class just acts as a wrapper around the head pointer and the operations
 - So while a class is probably the correct way to go in terms of organizing your code, for today we can show you a less modular, procedural approach
- Define functions for each operation and pass it the head pointer as an argument

```
#include<iostream>
using namespace std;
                           Item blueprint:
struct Item {
                             int Item*
  int val;
                            val
                                   next
  Item* next;
};
// Function prototypes
void append(Item*& head, int v);
bool empty(Item* head);
int size(Item* head);
int main()
  Item* head1 = NULL;
  Item* head2 = NULL;
  int size1 = size(head1);
  bool empty2 = empty(head2);
  append(head1, 4);
```

class List:

head_ 0x0

Rule of thumb: Still use 'structs' for objects that are purely collections of data and don't really have operations associated with them. Use 'classes' when data does have associated functions/methods.

Linked List Implementation

- To maintain a linked list you need only keep one data value: <u>head</u>
 - Like a train engine, we can attach any number of 'cars' to the engine
 - The engine looks different than all the others
 - In our linked list it's just a single pointer to an Item
 - All the cars are Item structs
 - Each car has a hitch for a following car (i.e. next pointer)

```
struct Item {
    int val;
    Item* next;
};
void append(Item*& head, int v);
int main()
{
    Item* head1 = NULL;
    Item* head2 = NULL;
}
```

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head1 0x0

NULL

#include<iostream>







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A Common Misconception

- Important Note:
 - 'head' is NOT an Item, it is a pointer to the first item
 - Sometimes folks get confused and think head is an item and so to get the location of the first item they write 'head->next'
 - In fact, head->next evaluates to the 2nd items address



head->next yields a pointer to the 2nd item! head yields a pointer to the 1st item!

Append

- Adding an item (train car) to the back can be split into 2 cases:
 - Case 1: Attaching the car to the engine (i.e. the list is empty and we have to change the head pointer)
 - Changing the head pointer is a special case since we must ensure that change propagates to the caller
 - Case 2: Attaching the car to another car (i.e. the list has other Items already) and so we update the next pointer of an Item

```
#include<iostream>
using namespace std;
struct Item {
  int val;
  Item* next;
};
void append(Item*& head, int v)
  if(head == NULL){
    head = new Item
    head->val = v; head->next = NULL;
  else {...}
}
int main()
{
  Item* head1 = NULL;
  Item* head2 = NULL;
  append(head1, 3);
```





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Linked List

head

0x148

0x148

3

val

0x1c0

next

- Adding an item (train car) to the back can be split into 2 cases:
 - Attaching the car to the engine (i.e. the list is empty and we have to change the head pointer)
 - Attaching the car to another car (i.e. the list has other Items already) and so we update the next pointer of an Item

```
#include<iostream>
using namespace std;
struct Item {
  int val;
  Item* next;
};
void append(Item*& head, int v)
{
  if(head == NULL){
    head = new Item:
    head->val = v; head->next = NULL;
  else {...}
int main()
Ł
  Item* head1 = NULL;
  Item* head2 = NULL;
  append(head1,3); append(head1,9);
}
```

0x1c0

9

val

0x0

NULL

next

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Linked List

- Adding an item (train car) to the back can be split into 2 cases:
 - Attaching the car to the engine (i.e. the list is empty and we have to change the head pointer)
 - Attaching the car to another car (i.e. the list has other Items already) and so we update the next pointer of an Item

```
#include<iostream>
      using namespace std;
      struct Item {
         int val;
         Item* next;
      };
      void append(Item*& head, int v)
       Ł
         if(head == NULL){
           head = new Item;
           head->val = v; head->next = NULL;
         else {...}
      }
      int main()
         Item* head1 = NULL;
         Item* head2 = NULL;
         append(head1, 3); append(head1, 9);
         append(head1, 2);
head
0x148
          0x148
                                        0x168
                        0x1c0
                                              0x0
           3
                                          2
                          9
               0x1c0
                              0x168
                                              (Null)
```

val

next

val

next

val

next

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Iterating Through <u>a Linked List</u>

- Start from head and iterate to end of list
 - Allocate new item and fill it in
 - Copy head to a temp pointer (because if we modify head we can never recover where the list started)
 - Use temp pointer to iterate through the list until we find the tail (element with next field = NULL)
 - To take a step we use the line: temp = temp->next;
 - Update old tail item to point at new tail item



Given only head, we don't know where the list ends so we have to traverse to find it

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Passing Pointers "by-Value"

- Look at how the head parameter is passed...Can you explain it?
 - Append() may need to change the value of head and we want that change to be visible back in the caller.
 - Even pointers are passed by value...wait, huh?
 - When one function calls another and passes a pointer, it is the data being pointed to that can be changed by the function and seen by the caller, but the pointer itself is passed by value.
 - You email your friend a URL to a Google doc.
 The URL is copied when the email is sent but the document being referenced is shared.
 - If we want the pointer to be changed and visible we need to pass the pointer by reference
 - We choose Item*& but we could also pass an Item**



```
void append(Item*& head, int v)
{
  Item* newptr = new Item;
  newptr->val = v; newptr->next = NULL;
  if(head == NULL){
    head = newptr;
  }
  else {
    Item* temp = head;
    // iterate to the end
    ...
  }
}
```



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} }

int main() { Pointer
Item* head1 = 0; Passed-byappend(head1, 3); Value

```
void append(Item* head, int v)
{
  Item* newptr = new Item;
  newptr->val = v;
  newptr->next = NULL;
  if(head == 0){ head = newptr;}
  else {
    Item* temp = head;
    ...
} }
```

int main() {
 Item* head1 = 0;
 append(head1, 3);
 Pointer
 Passed-by C++
 Reference

void append(Item*& head, int v)
{
 Item* newptr = new Item;
 newptr->val = v;
 newptr->next = NULL;
 if(head == 0){ head = newptr;}
 else {
 Item* temp = head;
 ...











Arrays/Linked List Efficiency

- Arrays are contiguous pieces of memory
- To find a single value, computer only needs
 - The start address
 - Remember the name of the array evaluates to the starting address (e.g. data = 120)
 - Which element we want
 - Provided as an index (e.g. [20])
 - This is all thanks to the fact that items are contiguous in memory
- Linked list items are not contiguous
 - Thus, linked lists have an explicit field to indicate where the next item is
 - This is "overhead" in terms of memory usage
 - Requires iteration to find an item or move to the end

| <pre>#include<iostream> using namespace std;</iostream></pre> |
|---|
| int main() { |
| <pre>int data[25]; data[20] = 7; return 0;</pre> |
| } |

data = 100





Using a 'for' Loop to Iterate

- Just as a note, you can use a for loop structure to iterate through a linked list
- Identify the three parts:
 - Initialization
 - Condition check
 - Update statement

```
void print(Item* head)
{
    Item* temp = head; // init
    while(temp->next){ // condition
        cout << temp->val << endl;
        temp = temp->next; // update
    }
}
```

```
void print(Item* head)
{
   for(Item* temp = head; // init
      temp->next; // condition
      temp = temp->next) // update
   {
      cout << temp->val << endl;
   }
}</pre>
```

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Note: The condition (temp->next) is equivalent to (temp->next != NULL). Why?

INCREASING EFFICIENCY OF OPERATIONS + DOUBLY LINKED LISTS



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Adding a Tail Pointer

- If in addition to maintaining a head pointer we can also maintain a tail pointer
- A tail pointer saves us from iterating to the end to add a new item
- Need to update the tail pointer when...
 - We add an item to the end
 - Easy, fast!
 - We remove an item from the end



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Removal

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- To remove the last item, we need to update the 2nd to last item (set it's next pointer to NULL)
- We also need to update the tail pointer
- But this would require us to traverse the full list requiring O(n) time
- ONE SOLUTION: doubly-linked list



Doubly-Linked Lists

- Includes a previous pointer in each item so that we can traverse/iterate backwards or forward
- First item's previous field should be NULL
- Last item's next field should be NULL
- The key to performing operations is updating all the appropriate pointers correctly!
 - Let's practice identifying this.
 - We recommend drawing a picture of a sample data structure before coding each operation



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Doubly-Linked List Add Front

- Adding to the front requires you to update...
- ...Answer
 - Head
 - New front's next & previous
 - Old front's previous



Doubly-Linked List Add Middle

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- Adding to the middle requires you to update...
 - Previous item's next field
 - Next item's previous field
 - New item's next field
 - New item's previous field



Doubly-Linked List Add Middle

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- Adding to the middle requires you to update...
 - Previous item's next field
 - Next item's previous field
 - New item's next field
 - New item's previous field





Doubly-Linked List Remove Middle

- Removing from the middle requires you to update...
 - Previous item's next field
 - Next item's previous field
 - Delete the item object





Doubly-Linked List Remove Middle

- Removing from the middle requires you to update...
 - Previous item's next field
 - Next item's previous field
 - Delete the item object



Doubly-Linked List Prepend

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• Assume DLItem constructor:

- DLItem(int val, DLItem* next, DLItem* prev)

 Add a new item to front of doubly linked list given head and new value

```
void prepend(DLItem *& head, int n)
{
    DLItem* elem = new DLItem(n, head, NULL);
    head = elem;
    if (head->next != NULL){
        head->next->prev = head;
    }
};
```

Doubly-Linked List Remove

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• Remove item given its pointer

```
void remove(DLItem *& head, DLItem *splice)
{
    if (splice != head){
        }
      else {
           head = _____;
      }
      if (splice->next != NULL){
           _____;
      }
      delete splice;
}
```



Summary of Linked List Implementations

| Operation vs Implementation for Edges | Push_front | Pop_front | Push_back | Pop_back | Memory Overhead Per Item |
|---|------------|-----------|-----------|----------|--------------------------------|
| Singly linked-list w/ head ptr ONLY | | | | | 1 pointer (next) |
| Singly linked-list w/ head and tail ptr | | | | | 1 pointer (next) |
| Doubly linked-list w/ head and tail ptr | | | | | 2 pointers (prev + next) |

- What is worst-case runtime of get(i)?
- What is worst-case runtime of insert(i, value)?
- What is worst-case runtime of remove(i)?

ARRAY-BASED IMPLEMENTATIONS



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BOUNDED DYNAMIC ARRAY STRATEGY



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A Bounded Dynamic Array Strategy

- Allocate an array of some user-provided size
 - Capacity is then fixed
- What data members do I need?
- Together, think through the implications of each operation when using a bounded array (what issues could be caused due to it being bounded)?

```
#ifndef BALISTINT_H
#define BALISTINT_H
```

```
class BAListInt {
  public:
    BAListInt(unsigned int cap);
```

};
#endif

balistint.h

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A Bounded Dynamic Array Strategy

- What data members do I need?
 - Pointer to Array
 - Current size
 - Capacity
- Together, think through the implications of each operation when using a static (bounded) array
 - Push_back: Run out of room?
 - Insert: Run out of room, invalid location

#ifndef BALISTINT_H
#define BALISTINT_H

```
class BAListInt {
  public:
    BAListInt(unsigned int cap);
```

};
#endif

balistint.h

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Implementation

- Implement the following member functions
 - A picture to help write the code





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Implementation (cont.)

{

}

- Implement the following member functions
 - A picture to help write the code

0 1 2 3 4 5 6 7 30 51 52 53 54 10

void BAListInt::remove(int loc)

balistint.cpp

Array List Runtime Analysis

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- What is worst-case runtime of set(i, value)?
- What is worst-case runtime of get(i)?
- What is worst-case runtime of pushback(value)?

• What is worst-case runtime of insert(i, value)?

• What is worst-case runtime of remove(i)?

Const-ness

- Notice the get() functions?
- Why do we need two versions of get?
- Because we have two use cases...
 - 1. Just read a value in the array w/o changes
 - 2. Get a value w/ intention of changing it

#ifndef BALISTINT_H
#define BALISTINT_H

```
class BAListInt {
  public:
    BAListInt(unsigned int cap);
```

```
bool empty() const;
unsigned int size() const;
void insert(int pos, const int& val);
bool remove(int pos);
```

```
int& const get(int loc) const;
int& get(int loc);
```

```
void set(int loc, const int& val);
void push_back(const int& val);
private:
```

```
};
#endif
```

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Constness

```
// ---- Recall List Member functions -----
// const version
int& const BAListInt::get(int loc) const
{ return data [i]; }
// non-const version
int& BAListInt::get(int loc)
{ return data [i]; }
void BAListInt::insert(int pos, const int& val);
// ---- Now consider this code -----
void f1(const BAListInt& mylist)
{
 // This calls the const version of get.
                                                                              size
 // W/o the const-version this would not compile
 // since mylist was passed as a const parameter
                                                                              cap
 cout << mylist.get(0) << endl;</pre>
                                                                             data
 mylist.insert(0, 57); // won't compile..insert is non-const
}
int main()
                                                                              0
{
                                                                             30
                                                                                 51
 BAListInt mylist;
 f1(mylist);
```



Returning References

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```
// ---- Recall List Member functions -----
// const version
int& const BAListInt::get(int loc) const
{ return data_[i]; }
// non-const version
int& BAListInt::get(int loc)
{ return data [i]; }
void BAListInt::insert(int pos, const int& val);
                                                                             mylist
// ---- Now consider this code -----
void f1(BAListInt& mylist)
                                                                          size
{
                                                                                8
                                                                           cap
  // This calls the non-const version of get
 // if you only had the const-version this would not compile
                                                                          data
 // since we are trying to modify what the
 // return value is referencing
 mylist.get(0) += 1; // equiv. mylist.set(0, mylist.get(0)+1);
 mylist.insert(0, 57);
 // will compile since mylist is non-const
}
                                                                                     53
                                                                              51
                                                                                 52
                                                                                        54
                                                                          30
int main()
{ BAListInt mylist;
  f1(mylist);
```

Moral of the Story: We need both versions of get()

UNBOUNDED DYNAMIC ARRAY STRATEGY



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Unbounded Array

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- Any bounded array solution runs the risk of running out of room when we insert() or push_back()
- We can create an unbounded array solution where we allocate a whole new, larger array when we try to add a new item to a full array



Activity

• What function implementations need to change if any?

```
#ifndef ALISTINT H
#define ALISTINT H
class AListInt {
 public:
  bool empty() const;
 unsigned int size() const;
 void insert(int loc, const int& val);
 void remove(int loc);
 int& const get(int loc) const;
 int& get(int loc);
 void set(int loc, const int& val);
 void push back(const T& new val);
 private:
 int* data;
 unsigned int _size;
  unsigned int _capacity;
};
// implementations here
#endif
```

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Activity

• What function implementations need to change if any?

```
#ifndef ALISTINT H
#define ALISTINT H
class AListInt {
 public:
 bool empty() const;
 unsigned int size() const:
  void insert(int loc, const int& val
 void remove(int loc);
  int& const get(int loc) const;
 int& get(int loc);
 void set(int loc, const int& val);
 void push back(const T& new val);
 private:
  void resize(); // increases array size
 int* data;
  unsigned int size;
  unsigned int _capacity;
};
// implementations here
#endif
```

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 Implement the push_back method for an unbounded dynamic array #include "alistint.h"

{

}

void AListInt::push_back(const int& val)

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AMORTIZED RUNTIME

Example

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 You love going to Disneyland. You purchase an annual pass for \$240. You visit Disneyland once a month for a year. Each time you go you spend \$20 on food, etc.

– What is the cost of a visit?

- Your annual pass cost is spread or "amortized" (or averaged) over the duration of its usefulness
- Often times an operation on a data structure will have similar "irregular" (i.e. if we can prove the worst case can't happen each call) costs that we can then amortize over future calls

Amortized Run-time

- Used when it is impossible for the worst case of an operation to happen on each call (i.e. we can prove after paying a high cost that we will not have to pay that cost again for some number of future operations)
- Amortized Runtime = (Total runtime over k calls) / k
 - Average runtime over k calls
 - Use a "period" of calls from when the large cost is incurred until the next time the large cost will be incurred

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Amortized Array Resize Run-time

- What is the run-time of insert or push_back:
 - If we have to resize?
 - O(n)
 - If we don't have to resize?
 - O(1)
- Now compute the total cost of a series of insertions using resize by 1 at a time
- Each new insert costs O(n)... not good

Resize by 1 strategy

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Amortized Array Resize Run-time

- What if we resize by adding 5 new locations each time
- Start analyzing when the list is full...
 - 1 call to insert will cost: n+1
 - What can I guarantee about the next 4 calls to insert?
 - They will cost 1 each because I have room
 - After those 4 calls the next insert will cost: (n+5)
 - Then 4 more at cost=1
- If the list is size n and full
 - Next insert cost = n+1
 - 4 inserts after than = 1 each = 4 total
 - Thus total cost for 5 inserts = n+5
 - Runtime = cost / inserts = (n+5)/5 = O(n)

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Consider a Doubling Size Strategy

- Start when the list is full and at size n
- Next insertion will cost?
 - O(n+1)
- How many future insertions will be guaranteed to be cost = 1?
 - n-1 insertions
 - At a cost of 1 each, I get n-1 total cost
- So for the n insertions my total cost was
 - n+1 + n-1 = 2*n
- Amortized runtime is then:
 - Cost / insertions
 - O(2*n / n) = O(2)
 - = O(1) = constant!!!

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When To Use Amortized Runtime

- When should I use amortized runtime?
 - When it is impossible for the worst case of an operation to happen on each call (i.e. we can prove after paying a high cost that we will not have to pay that cost again for some number of future operations)
 - Generally, a necessary condition for using amortized analysis is some kind of state to be maintained from one call to the next (i.e. in a global variable or more often a data member of an object) that determines when additional work is required
 - E.g. the size_ member in the ArrayList
- Over how many calls should I average the runtime?
 - Determine the period between the worst case occurring (i.e. how many calls between the worst cases occurring)
 - Average the cost over the that number of calls

Example

 What is the worst case runtime of f1()?

$$-T(n) = \sum_{i=1}^{n} \sum_{j=1}^{i} \theta(1) = \theta(n^2)$$

- Can the worst case happen each time?
 – No, only every n-th time
- Amortized runtime

$$-\frac{\theta(n^2)+1+\dots+1}{n} = \theta(n)$$

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Another Example

- Let's say you are writing an algorithm to take a n-bit binary combination (3-bit and 4-bit combinations are to the right) and produce the next binary combination
- Assume all the cost in the algorithm is spent changing a bit (define that as 1 unit of work)
- I could give you any combination, what is the worst case run-time? Best-case?
 - O(n) => 011 to 100
 - O(1) => 000 to 001

| 3-bit Binary | 4-bit Binary |
|--------------|--------------|
| 000 | 0000 |
| 001 | 0001 |
| 010 | 0010 |
| 011 | 0011 |
| 100 | 0100 |
| 101 | 0101 |
| 110 | 0110 |
| 111 | 0111 |
| | 1000 |
| | 1001 |
| | 1010 |
| | 1011 |
| | 1100 |
| | 1101 |
| | 1110 |
| | 1111 |

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Another Example

 Now let's consider an object that stores an n-bit binary number and a member function that increments it (in order) w/ no other way to alter its value

| — Starting at 000 => 001 : cost = 1 |
|-------------------------------------|
| — Starting at 001 => 010 : cost = 2 |
| — Starting at 010 => 011 : cost = 1 |
| — Starting at 011 => 100 : cost = 3 |
| — Starting at 100 => 101 : cost = 1 |
| — Starting at 101 => 110 : cost = 2 |
| — Starting at 101 => 111 : cost = 1 |
| — Starting at 111 => 000 : cost = 3 |
| — Total = 14 / 8 calls = 1.75 |
| Repeat for the 4-bit |
| - 1+2+1+3+1+2+1+4+ |
| — Total = 30 / 16 = 1.875 |

| • | As n gets | largerAmortized | cost per call = 2 |
|---|-----------|-----------------|-------------------|
|---|-----------|-----------------|-------------------|

| 3-bit Binary | 4-bit Binary |
|--------------|--------------|
| 000 | 0000 |
| 001 | 0001 |
| 010 | 0010 |
| 011 | 0011 |
| 100 | 0100 |
| 101 | 0101 |
| 110 | 0110 |
| 111 | 0111 |
| | 1000 |
| | 1001 |
| | 1010 |
| | 1011 |
| | 1100 |
| | 1101 |
| | 1110 |
| | 1111 |

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Doubly-Linked List Prepend

• Assume DLItem constructor:

DLItem(int val, DLItem* next, DLItem* prev)

 Add a new item to front of doubly linked list given head and new value

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Doubly-Linked List Remove

• Remove item given its pointer

```
void remove(DLItem *& head, DLItem *splice)
{
    if (splice != head){
        splice->prev->next = splice->next;
    }
    else {
        head = splice->next;
    }
    if (splice->next != NULL){
        splice->next->prev = splice->prev;
    }
    delete splice;
}
```


Summary of Linked List Implementations

| Operation vs Implementation for Edges | Push_front | Pop_front | Push_back | Pop_back | Memory Overhead Per Item |
|---|------------|-----------|-----------|----------|--------------------------------|
| Singly linked-list w/ head ptr ONLY | Θ(1) | Θ(1) | Θ(n) | Θ(n) | 1 pointer (next) |
| Singly linked-list w/ head and tail ptr | Θ(1) | Θ(1) | Θ(1) | Θ(n) | 1 pointer (next) |
| Doubly linked-list w/ head and tail ptr | Θ(1) | Θ(1) | Θ(1) | Θ(1) | 2 pointers (prev + next) |

- What is worst-case runtime of get(i)? Θ(i)
- What is worst-case runtime of insert(i, value)? Θ(i)
- What is worst-case runtime of remove(i)? Θ(i)